

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 90028.0.1 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/532356
INTERNATIONAL APPLICATION NO. PCT/IE2003/000154	INTERNATIONAL FILING DATE November 26, 2003	PRIORITY DATE CLAIMED November 26, 2002
TITLE OF INVENTION An Illuminator and Production Method		
APPLICANT(S) FOR DO/EO/US StockerYale Inc., Daniel Linehan, Sten Bjorsell, Simon Stanley, William Kelly		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) is not necessary since the original is in the English language. <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.</p> <p>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>		

This collection of information is required by 37 CFR 1.414 and 1.491–1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/IE2003/000154		ATTORNEY'S DOCKET NUMBER 90028.0.1																																																																																	
21. The following fees are submitted:																																																																																					
<table border="1"> <tr> <td><input checked="" type="checkbox"/></td> <td>a) Basic national fee</td> <td>\$300.00</td> <td>\$ 300.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>b) Examination fee</td> <td>\$200.00</td> <td>\$ 200.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>c) Search fee</td> <td>\$400.00</td> <td>\$ 400.00</td> <td></td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$1,000.00</td> <td>\$900.00</td> <td></td> </tr> </table>						<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	\$ 300.00		<input checked="" type="checkbox"/>	b) Examination fee	\$200.00	\$ 200.00		<input checked="" type="checkbox"/>	c) Search fee	\$400.00	\$ 400.00		TOTAL OF ABOVE CALCULATIONS =			\$1,000.00	\$900.00																																																												
<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	\$ 300.00																																																																																		
<input checked="" type="checkbox"/>	b) Examination fee	\$200.00	\$ 200.00																																																																																		
<input checked="" type="checkbox"/>	c) Search fee	\$400.00	\$ 400.00																																																																																		
TOTAL OF ABOVE CALCULATIONS =			\$1,000.00	\$900.00																																																																																	
<table border="1"> <tr> <td><input type="checkbox"/></td> <td colspan="4">Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</td> <td></td> </tr> </table>						<input type="checkbox"/>	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																																																														
<input type="checkbox"/>	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																																																																				
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																																																																		
18 -100 =	0 /50 =	0	x \$250.00																																																																																		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).																																																																																					
<table border="1"> <tr> <td colspan="2">CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> <td>\$</td> </tr> <tr> <td colspan="2">Total claims</td> <td>33 - 20 =</td> <td>13</td> <td>X \$50.00</td> <td>\$ 650.00</td> </tr> <tr> <td colspan="2">Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>X \$200.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td>+ \$360.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="5">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$1,550.00</td> </tr> <tr> <td colspan="5"><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td> <td>\$775.00</td> </tr> <tr> <td colspan="5">SUBTOTAL =</td> <td>\$775.00</td> </tr> <tr> <td colspan="5">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="5">TOTAL NATIONAL FEE =</td> <td>\$775.00</td> </tr> <tr> <td colspan="5">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="5">TOTAL FEES ENCLOSED =</td> <td>\$775.00</td> </tr> <tr> <td colspan="5" rowspan="2"></td> <td>Amount to be refunded:</td> </tr> <tr> <td>\$</td> </tr> <tr> <td colspan="5" rowspan="2"></td> <td>Amount to be charged:</td> </tr> <tr> <td>\$</td> </tr> </table>						CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	\$	Total claims		33 - 20 =	13	X \$50.00	\$ 650.00	Independent claims		2 - 3 =	0	X \$200.00	\$ 0.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$ 0.00	TOTAL OF ABOVE CALCULATIONS =					\$1,550.00	<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$775.00	SUBTOTAL =					\$775.00	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0.00	TOTAL NATIONAL FEE =					\$775.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$ 0.00	TOTAL FEES ENCLOSED =					\$775.00						Amount to be refunded:	\$						Amount to be charged:	\$
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	\$																																																																																
Total claims		33 - 20 =	13	X \$50.00	\$ 650.00																																																																																
Independent claims		2 - 3 =	0	X \$200.00	\$ 0.00																																																																																
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$ 0.00																																																																																
TOTAL OF ABOVE CALCULATIONS =					\$1,550.00																																																																																
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$775.00																																																																																
SUBTOTAL =					\$775.00																																																																																
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0.00																																																																																
TOTAL NATIONAL FEE =					\$775.00																																																																																
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$ 0.00																																																																																
TOTAL FEES ENCLOSED =					\$775.00																																																																																
					Amount to be refunded:																																																																																
					\$																																																																																
					Amount to be charged:																																																																																
					\$																																																																																
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.																																																																																					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>50-2383</u> in the amount of \$775.00 to cover the above fees. A duplicate copy of this sheet is enclosed.																																																																																					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-2383</u> . A duplicate copy of this sheet is enclosed.																																																																																					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																																																					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																																																																					
SEND ALL CORRESPONDENCE TO: Frederick C. Williams Burns & Levinson LLP 1030 Fifteenth Street, N.W. Suite 300 Washington, DC 20005-1501 (202) 842-0445 Customer number 40320																																																																																					
<table border="1"> <tr> <td colspan="3"><i>Frederick C. Williams</i></td> <td colspan="3">SIGNATURE:</td> </tr> <tr> <td colspan="3">Frederick C. Williams</td> <td colspan="3">NAME</td> </tr> <tr> <td colspan="3">36969</td> <td colspan="3">REGISTRATION NUMBER</td> </tr> </table>						<i>Frederick C. Williams</i>			SIGNATURE:			Frederick C. Williams			NAME			36969			REGISTRATION NUMBER																																																																
<i>Frederick C. Williams</i>			SIGNATURE:																																																																																		
Frederick C. Williams			NAME																																																																																		
36969			REGISTRATION NUMBER																																																																																		

10/532356
JC20 Rec'd PCT/PTO 20 APR 2005

CERTIFICATE OF MAILING

I hereby certify that this U.S. National Stage Application corresponding to PCT/IE2004/000154 filed on November 26, 2003, and identified as attorney docket no. 90028.0.1, is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

By: Lori L. Stewart

Typed Name: Lori L. Stewart

Express Mail Label No.: **EV 572511665 US**

Date of Deposit: April 20, 2005

Documents Enclosed:

PTO-1390 Transmittal Letter – 2 pages
Declaration and Power of Attorney – 2 pages
Preliminary Amendment – 7 pages
Specification, claims, and abstract – 16
Drawings – 2 sheets
Copy of Application as published WO 2004/049462 – 21 pages